### **Auckland International Limited**

CIN-L36934WB1977PLC031184

Phone: 033-25812038, 25812757, Fax: +91-033-25813795 E-mail: ail@aucklandjute.com Website www.aucklandjute.com REGISTERED OFFICE & MILLS

Auckland Jute Mills Jagatdal - 743125 North 24 Parganas West Bengal

Scrip Code: II027

### CSE/08/24-25

15th April, 2024

To,
The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range,
Kolkata-700001

Dear Sir/Madam,

### Sub: Annual Secretarial Compliance Report for the Year ended 31st March, 2024 Ref: Regulation 24A of SEBI LODR, 2015

Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dtd February 08, 2019 & Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Annual Secretarial Compliance Report of the Company from Sri Gautam Dugar, Practising Company Secretary, for the Year ended 31st March, 2024.

Kindly acknowledge receipt of the same.

Yours truly,

For AUCKLAND INTERNATIONAL LIMITED,

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Kolkata

Sangeetn Ghose

Company Secretary (Membership No. FCS 9592)

Encl: a/a

Corporate Office–5 Middleton Street, Kolkata-700071, West Bengal Administrative Office–6, Little Russell Street, Kolkata–700071, West Bengal Phone +919903586240 E-mail::sangeeta@jkkankaria.com

# GAUTAM DUGAR, FCS

# Practicing Company Secretary



Secretarial Compliance Report of AUCKLAND INTERNATIONAL LIMITED for the financial year ended on 31st March, 2024

(Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

I have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by **AUCKLAND INTERNATIONAL LIMITED** (hereinafter referred as 'the listed entity'), having its Registered Office at P O Jagatdal 24 Parganas North Jagatdal West Bengal 743125 Secretarial Review was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing our opinion thereon.

Based on my verification of the listed entity's books, papers, minutes books, forms and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, I hereby report that in my opinion, the listed entity has, during the review period covering the financial year ended on March 31, 2024, complied with the statutory provisions listed hereunder and also that the listed entity has proper Board processes and compliance mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

#### I have examined:

- (a) all the documents and records made available to me and exp!anation provided by AUCKLAND INTERNATIONAL LIMITED ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchange,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31<sup>st</sup> March, 2024 ("Review Period") in respect of compliance with the provisions of:
- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (*No events during the Period under review*);
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 (*No events during the Period under review*);
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (*No events during the Period under review*);
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (*No events during the Period under review*);
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 (No events during the Period under review);
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 (*No events during the Period under review*);

2, Joy Narayan Santra Lane, Howrah Maidan, Ground Floor, Howrah - 711101 Mobile No.9831255762 email: fcsgautamdugar@gmail.com

- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depository and Participants) Regulations, 2018 and circulars/ guidelines issued thereunder;

I hereby report that, during the Review Period the compliance status of the listed entity is appended as below:

Sr. No.	Particulars	Compliance Status (Yes/No/ NA)	Observations /Remarks by PCS
1.	Secretarial Standards: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by	YES	
4	the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.		
2.	<ul> <li>Adoption and timely updation of the Policies:</li> <li>All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities</li> <li>All the policies are in conformity with SEBI Regulations and have been reviewed &amp; updated on time, as per the regulations/circulars/guidelines issued by SEBI</li> </ul>	YES	
.3.	Maintenance and disclosures on Website:  The Listed entity is maintaining a functional website  Timely dissemination of the documents/ information under a separate section on the website  Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/section of the website	YES	
4.	Disqualification of Director:  None of the Director(s) of the Company is/are disqualified under Section 164 of Companies Act, 2013  as confirmed by the listed entity.	YES	
5.	Details related to Subsidiaries of listed entities		
*	have been examined w.r.t.:  (a) Identification of material subsidiary companies  (b) Disclosure requirement of material as well as other subsidiaries	NA	The Company does not have any Subsidiary Company.
6.	Preservation of Documents:  The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR	YES	GAUTAM SHIP N

E.	Regulations, 2015.		
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations.	YES	
8.	Related Party Transactions:		
	(a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or	YES	-
200 V	(b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	NA	Since prior approval is obtained
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	YES	
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	YES	-
11.	Actions taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided under separate paragraph herein (**).	YES	
12.	Additional Non-compliances, if any:  No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	YES	

Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
1	Compliances with the following conditions auditor	while appointing/r	e-appointing an
J:	i. If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or ii. If the auditor has resigned after 45 days from the end of a quarter of a financial year, the		GAUTAM DI

iii. If the audit refinancial resignar report of as well at the list. Audit C a. In case the lister availabing the man process. Chairman entity at such conspecification. Committed by the conservation of the conservation of the case receipt relating above manage ii. Disclaims accordant specified.	before such resignation, has issued the review/ audit report for such quarter as the next quarter; or	Not Applicable During The Period Under Review	Not Applicable During The
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relating above manage ii. Disclaim The au disclaim accordar specified			
above manage ii. Disclaim disclaim accordar specified	of such information from the auditor		
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ii. Disclaim The au disclaim accordar specified	and communicate its views to the	OF REAL PROPERTY.	
disclaime accordar specified	ment and the auditor.		
disclaime accordar specified	ner in case of non-receipt of information:		
accordar specified	iditor has provided an appropriate		A CON
specified	er in its audit report, which is in		
specified	nce with the Standards of Auditing as		13/4
	by ICAI / NFRA, in case where the	G for provinces	GAUTAM DU
listed el	ntity/ its material subsidiary has not		1/3/
	d information as required by the auditor.		WO FO
	entity / its material subsidiary has	Not Applicable	Not Applied
	information from the Auditor upon	Not Applicable During The Period	Not Applicable During The

resignation,	in	the	format	as specific	ed in	Under Review	Period Under
Annexure-	Α	in	SEBI	Circular	CIR/		Review
CFD/CMD1/	114/	2019	dated 18 <sup>t</sup>	h October 20	19.		

<sup>\*</sup>Observations /Remarks by PCS are mandatory if the Compliance status is provided as 'No' or 'NA'

I hereby report that, during the period under review:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below (to the extent of its applicability during the year under review):

Sr.	Compliance	Regulation/	Deviations	Action	Type of	Details of	Fine	Observations/	Management	Remark
No.	Requirement (Regulations/ circulars/ guidelines including specific clause)	Circular No.		Taken by	Action	Violation	Amount	Remarks of the Practicing Company Secretary	Response	

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr.	Compliance	Regulation/	Deviations	Action	Type of	Details of	Fine	Observations/	Management	Remark
No.	Requirement	Circular No.		Taken by	Action	Violation	Amount	Remarks of the	Response	-
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			NOT	APPLICAB	LE DURI	NG THE REV	VIEW PERIC	D		

#### Assumptions & Limitation of scope and Review:

- 1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
- 2. My responsibility is to certify based upon my examination of relevant documents and information. This is neither an audit nor an expression of opinion.
- I have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
- 4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

Place: KOLKATA Date: 13.04.2024

Signature: hautam Ayar

Name of the PCS: GAUTAM DUGAR

ACS/ FCS No.: 7139

C P No.:6243

UDIN: F007139F000099451 Peer Review No.:1577/2021